

*This brochure supplement provides information about Cody Joseph Nadeau that supplements the Wilian Securities, LLC. brochure. You should have received a copy of that brochure. Please contact Cody Joseph Nadeau if you did not receive Wilian Securities, LLC.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Cody Joseph Nadeau is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Wilian Securities, LLC.**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Cody Joseph Nadeau**

Personal CRD Number: 7009896

Investment Adviser Representative

Wilian Securities, LLC.  
3640 Talmage Circle St 100  
Vadnais Heights, MN 55110  
(651) 243-0535  
[cody@wilianfinancial.com](mailto:cody@wilianfinancial.com)

UPDATED: 10/05/2023

## Item 2: Educational Background and Business Experience

**Name:** Cody Joseph Nadeau **Born:** 1995

### Educational Background and Professional Designations:

#### Education:

Bachelor of Science, Bachelor of Arts Mathematics, Economics, University of Minnesota Duluth - 2017

#### Business Background:

08/2022 - Present	Investment Adviser Representative Wilian Securities, LLC.
08/2022 - Present	Director of Financial Planning Wilian Financial
05/2017 - 08/2022	Advisor Great Waters Financial
08/2014 - 12/2017	Student University of Minnesota Duluth
06/2014 - 08/2016	Summer Building Manager ISD 624
09/2012 - 08/2014	Student ISD 624

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Cody Joseph Nadeau is a licensed insurance agent. This activity creates a conflict of interest since there is an incentive to recommend insurance products based on commissions or other benefits received from the insurance company, rather than on the client's needs. Additionally, the offer and sale of insurance products by supervised persons of WSL are not made in their capacity as a fiduciary, and products are limited to only those offered by certain insurance providers. WSL addresses this conflict of interest by requiring its supervised persons to act in the best interest of the client at all times, including when acting as an insurance agent. WSL periodically reviews recommendations by its supervised persons to assess whether they are based on an objective evaluation of each client's risk profile and investment objectives rather than on the receipt of any commissions or other benefits. WSL will disclose in advance how it or its supervised persons are compensated and will disclose conflicts of interest involving any advice or service provided. At no time will there be tying between business practices and/or services (a condition where a client or prospective client would be required to accept one product or service conditioned upon the selection of a second, distinctive tied product or service). No client is ever under any obligation to purchase any insurance product. Insurance products recommended by WSL's supervised persons may also be available from other providers on more favorable terms, and clients can purchase insurance products recommended through other unaffiliated insurance agencies.

#### **Item 5: Additional Compensation**

Cody Joseph Nadeau does not receive any economic benefit from any person, company, or organization, other than Wilian Securities, LLC. in exchange for providing clients advisory services through Wilian Securities, LLC..

#### **Item 6: Supervision**

As a representative of Wilian Securities, LLC., Cody Joseph Nadeau is supervised by Will Johnson, the firm's Chief Compliance Officer. Will Johnson is responsible for ensuring that Cody Joseph Nadeau adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Will Johnson is (651) 243-0535.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Cody Joseph Nadeau has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Cody Joseph Nadeau has not been the subject of a bankruptcy petition.